

Designated/Elected Office (DO/EO/US)

FORM PTO-1390

10/088286

JCTUReCGFCI/PTU 15 MAR 2002

Docket No. : **HM-480PCT**
U.S. Application No. :
International Application No.: **PCT/EP00/09058** ✓
International Filing Date. : **September 16, 2000** ✓
Priority Date Claimed : **September 16, 1999** ✓
Title of Invention : **DEVICE FOR RAISING AND WITHDRAWING A BACK-UP ROLL BEARING UNIT**
Applicant(s) for (DO/EO/US) : **Karl-Friedrich Müller and Volker Kunze** ✓

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures 35 U.S.C. 371 (f) at any time rather than delay examination until the expiration of the applicable time limit set forth in 35 U.S.C 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed [35 U.S.C. 371(c)(2)].
 - a) ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b) ☐ has been transmitted by the international Bureau.
 - c) ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English [35 U.S.C.371(c)(2)].
7. ☐ Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C.371(c)(3)].
 - a) ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b) ☐ have been transmitted by the International Bureau.
 - c) ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d) ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 [35 U.S.C.371(c)(3)].
9. ☒ An oath or declaration of the inventor(s) [35 U.S.C.371(c)(4)]. **UNSIGNED**
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C.371(c)(5)].

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 198.
12. ☐ An Assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ (other items or information) Three sheets of drawings, PTO-1449 w/ 4 references and International Search Report

EXPRESS MAIL No.: EF 020 489 089 US Deposited: March 15, 2002

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231.



Friedrich Kueffner

March 15, 2002

Date

10070/08828602
IC10 Rec'd PCT/PTO

15 MAR 2002

17. X The following fees are submitted:

BASIC NATIONAL FEE [37 CFR 1.492(a)(1)-(5)]:

- X Search Report has been prepared by the EPO or JPO..... \$ 890.00
— International preliminary examination fee paid to USPTO [37 CFR 1.482]:..... \$ 710.00
— No International preliminary examination fee paid to USPTO [37 CFR 1.482]
but International search fee paid to USPTO [37CFR 1.445(a)(2)]:..... \$ 740.00
— Neither International preliminary examination fee [37 CFR 1.482] nor
International search fee [37 CFR 1.445(a)(2)] paid to USPTO:..... \$ 1040.00
— International preliminary examination fee paid to USPTO [37 CFR 1.482]
and all claims satisfied provisions of PCT Article 33 (2) to (4):..... \$ 100.00

ENTER APPROPRIATE BASIC FEE AMOUNT: \$ 890.00

Surcharge of \$ 130.00 for furnishing the oath or declaration later than 20 30 months
from the earliest claimed priority date [37 CFR 1.492(e)]

| Claims | filed | Extra | Rate |
|--|-------|-------|-----------|
| Total Claims | 6 | -20= | x \$ 18.= |
| Indep. Claims | 1 | - 3= | x \$ 84.= |
| Multiple Dependent Claims (if applicable) + \$ 280.= | | | |

TOTAL OF ABOVE CALCULATIONS: \$ 890.00

Reduction by $\frac{1}{2}$ for filing by small entity, if applicable. Verified Small Entity
Statement must be filed also. [Note 37 CFR 1.9, 1.27, 1.28]

(divided by 2)

SUBTOTAL: \$ 890.00

Processing fee of \$ 130.00 for furnishing the English Translation later than 20 30 months
from the earliest claimed priority date [37 CFR 1.492(f)]

TOTAL NATIONAL FEE: \$ 890.00

Fee for recording the enclosed assignment [37 CFR 1.21(h)] The assignment must be
accompanied by an appropriate cover sheet [37 CFR 3.28, 3.31]. \$ 40.00 per property

TOTAL FEES ENCLOSED: \$ 890.00

AMOUNT TO BE REFUNDED: Refunded \$

AMOUNT TO BE CHARGED: Charged \$

- a) X A check in the amount of \$ 890.00 to cover the above fees is enclosed.
b) — Please charge my Deposit Account No. 11-1835 in the amount of \$ to cover the above fees.
A duplicate copy of this sheet is enclosed.
c) X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 11-1835. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 36 CFR 1.494 or 1.495 has not been met, a petition to revive [37 CFR 1.137(a) or (b)] must
be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO: Friedrich Kueffner
317 Madison Avenue
Suite 910
New York, NY 10017

Friedrich Kueffner
Name

F. Kueffner
signature

29,482
Reg. No.

March 15, 2002
Date